

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Patent Application of

Nicholas Charles Henly

Application No.: 10/815,678

Filed: April 2, 2004

For: FIXING ASSEMBLIES AND METHODS

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)  
) Group Art Unit: 3617

)  
) Examiner: Unassigned

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) Confirmation No.: 9784  
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**FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

To assist the Examiner, the document is / documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date July 15, 2004

By: William C. Rowland  
William C. Rowland  
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# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

<b>Application Number</b>	10/815,678
<b>Filing Date</b>	April 2, 2004
<b>First Named Inventor</b>	Nicholas Charles Henly
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	018300-052

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	4,621,961		Gulistan	11-11-1986

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code	Country	Date of Publication (MM-DD- YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Eng. Search Report	Eng. I/PER	Cited in Spec	Abstract
	*GB 395321		Great Britain	10-06-1931				*			
	*DE 3210147	A1	Germany	11-04-1982				*			*
	*GB 913272		Great Britain	09-30-1960				*			
	*GB 2354807	A	Great Britain	04-04-2001				*			

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	LEWMAR LIMITED, "Winch Parts Manual", Volume 9, pages 1-26, United Kingdom, 2000.

**\*Copy Attached**

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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Nicholas Charles Henly

Application No.: 10/815,678

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Title: FIXING ASSEMBLIES AND METHODS

Group Art Unit: 3617

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Confirmation No.: 9784



FIRST  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a FIRST Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.
- ☐ Charge \_\_\_\_\_ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By

William C. Rowland

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